



CARNEGIE

PRIVATE WEALTH

Dear [Name],

I greatly appreciate the support following my move to the new independent firm, **Carnegie Private Wealth**, and hope you are as excited as I am to continue working together on your financial journey.

I understand that change can be difficult and that you may want to do some additional research before taking any next steps. While you are considering your options, please feel free to look through the resources below to learn more about Carnegie Private Wealth, as well as our new broker-dealer, LPL Financial.

CARNEGIE PRIVATE WEALTH

Invested in what matters.

Keeping You at the Heart of Everything I Do

I wouldn't be here without you, my valued client. Your experience was the core focus of every decision that went into joining this new firm, and I am confident that this move will ultimately allow me to serve you to my fullest potential. I carefully considered your existing pain points, priorities, and goals throughout this entire process, and hope I can address many of your concerns upfront through the Frequently Asked Questions (FAQs) below.

Frequently Asked Questions

Expanded Team, Enhanced Service

I am proud to add my experience to this team of seasoned professionals and support staff, including trusted teammates who have been supporting you for many years already. While I will be joining an exceptional team, please rest assured that I will continue to serve as your primary advisor and trusted partner in your financial journey.

With a combined total of over 125 years of experience among the financial professionals at Carnegie, I will have the invaluable resource of a team that is always prepared to provide knowledgeable service and support. All of us at Carnegie Private Wealth are invested in you—so you can be invested in what matters.



Meet the Carnegie Private Wealth Team

LPL FINANCIAL

*#1 Independent Broker-Dealer in the U.S.**

Leading with Strength, Stability, & Advocacy

LPL Financial believes that Americans deserve access to personalized guidance from a financial professional. Below are five key points that will highlight LPL and offer more insight into why we chose this broker-dealer to be our partner.

1

LPL has been in business for over 30 years and currently supports approximately 6 million client accounts, with over \$1 trillion in assets custodied.**

2

LPL is also a leading retail investment advisory firm – and for 25 consecutive years, has been the number one firm providing independent financial professionals with the support they need to serve their client's accounts.***

3

LPL has a team of more than 800 risk and compliance professionals and 100 cybersecurity experts who are responsible for protecting you and your accounts. In addition, LPL has an annual budget of \$40M dedicated to cybersecurity and data privacy to protect from cyberattacks.

4

LPL provides technology, research, compliance, operations, and consulting support to help financial professionals run their businesses.

5

LPL was founded on the revolutionary vision of helping financial professionals build competitive businesses while they served their client's best interests – treating them like people, not accounts. This remains true of LPL today.

More Resources from LPL Financial

When your financial professional partners with LPL, you get a unique combination for managing your financial situation: a dedicated professional who understands your challenges and goals, paired with the stability and strength of a leading financial services provider.

"We take care of our advisors, so they can take care of their clients."

That's LPL's mission, and it permeates everything they do. LPL's corporate values help them activate and execute on their mission every day, keeping them focused, agile, and accountable.

LPL Financial — Planning for Your Future

LPL By-The-Numbers

LPL.com — About Us

Again, thank you for your loyalty and commitment; I did not take this move lightly. You are my priority, and I am working to make this transition as seamless as possible. If you have any questions, don't hesitate to email our office at info@carnegiepw.com or call (704) 733-6880.

Sincerely,

Mitch Mayfield

Managing Director, Senior Wealth Advisor

—

Mitch.Mayfield@CarnegiePW.com

(704) 733-6885



*As reported by Financial Planning magazine, June 1996-2022, based on total revenue.

**As of 4/30/21

***As reported by Financial Planning magazine, June 1996-2022, based on total revenue.

 Invested in what matters.



CARNEGIE

PRIVATE WEALTH

6101 Carnegie Blvd, Suite 520

Charlotte, NC 28209

(704) 733-6880

info@carnegiepw.com



Carnegie Private Wealth, 6101 Carnegie Boulevard, Suite 520, Charlotte, NC 28209, (704) 730-6880
[Unsubscribe](#) [Manage preferences](#)

Securities and Advisory Services offered through LPL Financial, a Registered Investment Advisor. Member [FINRA](#) & [SIPC](#).

The LPL Financial registered representatives associated with this website may discuss and/or transact business only with residents of the states in which they are properly registered or licensed. No offers may be made or accepted from any resident of any other state.

The information contained in this email message is being transmitted to and is intended for the use of only the individual(s) to whom it is addressed. If the reader of this message is not the intended recipient, you are hereby advised that any dissemination, distribution, or copying of this message or content is strictly prohibited. If you have received this message in error, please immediately delete.

[Privacy Policy](#) | [LPL.com](#)